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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Mark W. Bitensky et al.

Serial No.

09/965.644

**EXAMINER:** 

Filed

September 27, 2001

**GROUP ART UNIT: 1645** 

For

: CELLULAR DIAGNOSTIC ARRAYS, :

METHODS OF USING AND PROCESSES FOR PRODUCING

SAME

BOX MISSING PARTS Commissioner For Patents Washington, DC 20231

#### SUBMISSION OF SUBSTITUTE DRAWINGS

In response to the Notice To File Missing Parts dated October 30, 2001 in the above-identified patent application, applicants are submitting six (6) sheets of Substitute Drawings.

#### **CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, DC 20231.

Samuel M. Freund

(Type name of person mailing paper)

(Signature of person mailing paper)

Date: February 28, 2002.

No new matter has been added to these drawings, and applicants respectfully request that they be entered into the subject patent application.

Respectfully submitted,

Samuel M. Freund Attorney for Applicants Registration No. 30,459

Phone (505) 667-9701

Dated: February 28, 2002.

# 

COPY OF PAPERS ORIGINALLY FILED



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MAR 1 3 ZIII Please type a plus sign (+) inside this box  $\rightarrow$  +

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/965,644					
Filing Date	September 27, 2001					
First Named Inventor	Mark W. Bitensky					
Group Art Unit	1645					
Examiner Name						
Attorney Docket Number	S=092701					

	ENCLOSURES (check all that apply)					
X Fee Transmittal Form	Assignment Papers After Allowance Communication to Group					
X Fee Attached	Drawing(s)  Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Licensing-related Papers  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition Proprietary Information					
Affidavits/declaration(s)	Provisional Application Status Letter					
X Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Other Enclosure(s) (please identify below):					
Express Abandonment Request	Request for Refund  4 Combined Declarations And Power Of Attorney					
Information Disclosure Statement	CD, Number of CD(s)					
Certified Copy of Priority Document(s)	Remarks					
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATU	IRE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name Samuel M	. Freund					
Signature John A						
Date February	28, 2002					
CERTIFICATE OF MAILING						
<ul> <li>and the state of t</li></ul>						

CERTIFICATE OF MAILING							
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Typed or printed name	Samuel M.//freund						
Signature	Oamuel February 28, 2002						

PTO/SB/17 (11-01)
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## **EE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

Paperwork Reduction Act of 1995.

**TOTAL AMOUNT OF PAYMENT** 

(\$) 753.00

The state of the s					
Complete if Known					
Application Number	09/965,644				
Filing Date	September 27, 2001				
First Named Inventor	Mark W. Bitensky				
Examiner Name					
Group Art Unit	1645				
Attorney Docket No.	S-092701				

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check	Credit card	Money Other None	3. ADDITIONAL FEES						
X Deposit	Account:	Order Control	Large Entity Small Entity						
Deposit Account	023255		Fee Cod	Fee e (\$)	Fee Code	Fee e (\$)	Fee Description	Fee Paid	
Number Deposit			105	130	205	65	Surcharge - late filing fee or oath	65	
Account Name	Boston Office	University Tr	127 ans f	e rº	227	25	Surcharge - late provisional filing fee or cover sheet		
_		ized to: (check all that apply) ow X Credit any overpayments	139	130	139	130	Non-English specification		
	(s) indicated beli	ow Credit any overpayments  i) during the pendency of this application	147	2,520	147	2,520	For filing a request for ex parte reexamination		
	•	ow, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to		
-	entified deposit	· · · · · · · · · · · · · · · · · · ·	112	1,840*	113 1	040*	Examiner action		
		ALCULATION	1 ''3	1,040	113	1,040	Requesting publication of SIR after Examiner action		
1. BASIC FI			115	110	215	55	Extension for reply within first month		
Large Entity			116	400	216	200	Extension for reply within second month		
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description Fee Paid	117	920	217	460	Extension for reply within third month		
101 740	201 370	Utility filing fee 370	118	1,440	218	720	Extension for reply within fourth month		
106 330	206 165	Design filing fee	128	1,960	228	980	Extension for reply within fifth month		
107 510			119	320	219	160	Notice of Appeal		
108 740	208 370	Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal		
114 160	214 80	Provisional filing fee	121	280	221	140	Request for oral hearing		
	•	LIPTOTAL (4) (6)7.70.00	138	1,510	138 1	,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)370.00		140	110	240	55	Petition to revive - unavoidable			
2. EXTRA C	CLAIM FEES	FOR UTILITY AND REISSUE	1	1,280	241	640	Petition to revive - unintentional		
		Extra Claims below Fee Paid	142	1,280		640	Utility issue fee (or reissue)		
Total Claims Independent	46 -20**		143	460		230	Design issue fee		
Claims		= 2 × 42 = 84	144	620		310	Plant issue fee		
Multiple Deper	ndent	=	122	130	122	130	Petitions to the Commissioner		
Large Entity	Small Entity		123	50	123		Processing fee under 37 CFR 1.17(q)		
Fee Fee	Fee Fee	Fee Description	126	180	126	180	Submission of Information Disclosure Stmt		
Code (\$) 103 18	Code (\$) 203 9	Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)		
102 84	202 42	Independent claims in excess of 3	146	740	246		Filing a submission after final rejection		
104 280	204 140	Multiple dependent claim, if not paid					(37 CFR § 1.129(a))		
109 84	209 42	** Reissue independent claims over original patent	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
110 18	210 9	** Reissue claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)		
·		and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)318.00			Other	Other fee (specify)					
**or numbe	r previously paid	, if greater; For Reissues, see above	*Red	uced by	Basic	Filing	Fee Paid SUBTOTAL (3) (\$)65.	<u>oo</u>	

SUBMITTED BY	1		Complete (if applicable)				
Name (Print/Type)	Samuel M. Frewnd /	Registration No. (Attorney/Agent) 30,459	Telephone	(505)667-9701			
Signature	& should toe	Kym	Date	02/28/02			

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> COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE ATTORNEY DOCKET NUMBER

09/965,644

Samuel M. Freund

1820 Corona

& TRAD

09/27/2001

Mark W. Bitensky

S-092701

CONFIRMATION NO. 3052

Date Mailed: 10/30/2001

**FORMALITIES LETTER** 

\*OC000000006997003\*

Los Alamos, NM 87544

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$628.
  - \$468 for 26 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1468.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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03/19/2002 MBERHE

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